

COMPLIANCE CERTIFICATE FOR THE THIRD QUARTER ENDED DECEMBER 31, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Rajaraman Sundaresan, Company Secretary & Compliance Officer of Fedbank Financial Services Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that :

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one number of events during the quarter ended and has captured one number of the said required events.

~~I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: Not Applicable~~

For Fedbank Financial Services Limited


Rajaraman Sundaresan
Company Secretary & Compliance Officer
Membership No. F3514



Date: January 17, 2024
Place: Mumbai

CONFIDENTIAL.